



Spencer Fane Team Secures Close of SEC Investigation

Spencer Fane attorneys [Patrick McInerney](#) and [Leslie Witterschein](#) recently secured a favorable result for a client – the closure of an ongoing insider trading investigation without charges or penalties for our client. After an extensive investigation lasting more than two years, the U.S. Securities and Exchange Commission (SEC) closed the case in early June, declining to charge or sanction Spencer Fane’s client.

The Spencer Fane White Collar & Government Investigations team, which features former federal and state prosecutors, works to intentionally and thoughtfully analyze any legal issues to resolve investigations promptly and with the best possible outcome. Learn more about the firm’s Government Investigations work [here](#).

Pat co-chairs the White Collar and Government Investigations practice group at Spencer Fane and brings over two decades of experience as a trial lawyer to his practice, having first-chaired many high-profile and complex cases in federal and state courts. Pat has a background in prosecuting organized crime and public corruption, which gives him a unique understanding of investigative and prosecution priorities and allows him to counsel individuals and companies facing federal criminal or civil investigation.

Leslie serves as eDiscovery counsel and co-leads the eDiscovery and Information Governance Team. She works closely with clients to help them through complex litigation or investigative matters. With over 25 years of experience handling all facets of litigation, Leslie focuses on finding resolutions to disputes while advancing the business and financial objectives of her clients.