



Spencer Fane Represents Prime Capital Financial in \$600 Million Strategic Investment Transaction

A Spencer Fane team comprising attorneys [Pete Hartweger](#), [Dave Zimmerman](#), [Pete Mirakian](#), and [Brian Devling](#) recently represented Prime Capital Financial in connection with an approximately \$600 million hybrid capital investment from the global credit platform Carlyle. This newly established strategic partnership includes a minority ownership interest in Prime Capital Financial and values the company at more than \$1.8 billion.

Prime Capital Financial is a leading independent wealth management firm serving clients nationwide through 68 offices, providing integrated financial planning, investment management, tax and estate planning, family office, and institutional advisory services through a collaborative, advisor-led model. The investment provides long-term capital and strategic resources to support the organization's continued expansion.

Spencer Fane has served as trusted counsel to Prime Capital Financial since 2017, advising the organization on more than 20 acquisitions and investments during a period of significant growth. Over that time, Prime Capital Financial has expanded from approximately \$2.5 billion in assets under management and seven offices into a national organization with nearly \$50 billion in assets under management.

This latest investment reflects the depth of experience Spencer Fane brings to complex corporate transactions, private equity investments, mergers and acquisitions, and strategic growth initiatives. Through a multidisciplinary approach and long-standing relationships, Spencer Fane helps clients navigate transformative transactions and position their organizations for continued growth.