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Spencer Fane Duo Earns Third Securities Docket Enforcement Elite Recognition

Spencer Fane is pleased to announce that Brent Baker and Michael MacPhail have been named 2026 Securities Docket Enforcement Elite honorees, marking their third time receiving the distinction.

The Enforcement Elite list comprises exceptional, peer-nominated securities enforcement defense counsel with reputations for resolving high-impact government investigation matters. Securities Docket formally announced this year's Elite at their November 17 Forum in Washington, D.C.

At Spencer Fane, Brent advises global companies, board committees, executives, and registered entities in complex criminal and civil investigations and litigation involving securities regulation and compliance. Drawing on his experience as senior special counsel for the U.S. Securities and Exchange Commission, he develops robust defense strategies and guides clients through all stages of disputes, from responding to regulatory and criminal subpoenas to preparing for investigative testimony and defending against SEC Wells notices of proposed charges. His practice also encompasses private shareholder litigation, complex criminal matters, and cases involving investment advisers, broker-dealers, accounting firms, FINRA, the PCAOB, and anti-money laundering issues.

Mike represents securities industry clients in a variety of high-stakes disputes involving regulatory, shareholder and insolvency-related claims. His clients include publicly traded corporations and their boards of directors, investment advisors, broker-dealers, transfer agents, accounting firms, law firms, licensed individuals, and shareholders. Mike handles disputes involving civil and criminal investigations, enforcement actions, and private litigation. He also represents clients in parallel

criminal investigations by federal grand juries, U.S. Attorney's Offices, and the U.S. Department of Justice.

Read the full list of 2026 Enforcement Elite honorees here.