SpencerFane®

New York Partner with Extensive SEC Background Joins Spencer Fane

Spencer Fane LLP is pleased to welcome Mark Mandel to the firm's New York office as a partner in the Litigation and Dispute Resolution Practice Group and as co-lead of the firm's Securities Litigation and Enforcement Market Team.

As the former chief of the New York office of the U.S. Securities and Exchange Commission's (SEC) Division of Broker-Dealer Enforcement, Mark understands complex securities matters from both the regulatory and defense perspectives. He represents clients in investigations involving the SEC, U.S. Department of Justice, state attorneys general, and self-regulatory organizations, as well as national securities class actions. He also advises clients on crypto-related disputes, including recovery and forfeiture matters.

Mark has worked on some of the most significant and high-profile cases in the securities industry, including matters involving the alleged VIX Manipulation, Complex Products, CDO Litigations, Off-Channel Communications, Squawk Box Investigations, and Auction Rate Securities, among others.

Mark is a frequent speaker on securities litigation topics and his impactful work in the sector has earned him recognition within the prestigious *Legal 500* and as a designated BTI Consulting Group Client Service All-Star.

"Mark will be a significant asset within our strong and growing securities practice, which includes top talents in New York, D.C., and other critical financial markets across the U.S.," said Jeffrey S. Berger, co-managing partner for Spencer Fane in New York.

Steven Hochberg, co-managing partner for Spencer Fane in New York, added, “Mark’s high-level industry intel will help us continue to build the agile yet robust regulatory prowess necessary to confidently lead our clients through complex securities disputes.”

After completing his Bachelor of Business Administration at Hofstra University, Mark earned his Juris Doctor from American University’s Washington College of Law.