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Mike MacPhail Talks Building Strong Foundation for Growth on Bizzy Signal Podcast

Spencer Fane attorney Michael MacPhail was the featured guest for a recent episode of the *Bizzy Signal Podcast*.

The program serves as a resource for business owners looking to maximize strategic growth. During his conversation with host Sean Hampton, Mike highlighted his career background and outlined key considerations for registered investment advisors (RIAs), business owners, and wealth managers focused on scaling up their organizations. He emphasized the importance of building a strong risk management and legal compliance foundation.

"All kinds of terrible things flow from improper or incomplete setup," Mike said, using issues that arise with transition procedures, SEC and FINRA violations, lack of insurance coverage, and costly litigation as examples. He championed "hiring the right people" – legal professionals and compliance consultants – as a way to mitigate these challenges.

At Spencer Fane, Mike represents securities industry clients in a variety of high-stakes disputes in which he defends their business and reputational interests and mitigates their potential legal exposure. His clients include publicly traded corporations and their boards of directors, investment advisors, broker-dealers, transfer agents, accounting firms, law firms, licensed individuals, and shareholders.

Listen to Mike's full *Bizzy Signal* episode here.