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Beth Miller Discusses Proposed SEC Rule for ThinkAdvisor

Spencer Fane attorney Beth Miller recently authored an article for *ThinkAdvisor,* an ALM news platform, that discusses a proposed rule from the Securities and Exchange Commission (SEC) that affects registered investment advisor (RIA) outsourcing.

In the article, Be Ready for SEC's Proposed RIA Outsourcing Rule, Beth explains that the rule aims to update the Investment Advisers Act to require RIAs to meet specific requirements if they outsource services. She also outlines additional changes proposed by the SEC and the outsourcing risks these modifications intend to mitigate.

Despite noting that the proposed rule has received pushback, Beth advises investment advisors to "become familiar with the proposed rule to ensure timely compliance if it is adopted" and provides action items to do just that.

At Spencer Fane, Beth is a member of the Employee Benefits Practice Group. She helps clients by identifying practical solutions to a wide variety of legal matters in the areas of employer-sponsored retirement plans, executive compensation, fiduciary obligations, and advisory services.

Read the full article here. Please note, a subscription may be required.